PERFORMANCE MEASUREMENT AND QUALITY: INTEGRATING PERFORMANCE INDICATORS INTO EVERYDAY PRACTICE

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Goals

- Identify and describe common performance and quality measures
- Describe the utility of such measures using examples from JDCRF GAIN data
- Describe practical issues for implementing such measures and using them proactively

Performance and Quality Measures

The Six Aims of *High-Quality* Health Care

- Safe: Avoiding injuries to patients from the care that is intended to help them.
- Effective: Providing services based on scientific knowledge to all who could benefit.
- Patient-centered: Providing care that is respectful of and responsive to individual patient preferences, needs, and values and ensuring that patient values guide all clinical decisions.
- □ **Timely:** Reducing waits and sometimes harmful delays for both those who receive and those who give care.
- Efficient: Avoiding waste, including waste of equipment, supplies, ideas, and energy.
- Equitable: Providing care that does not vary in quality because of personal characteristics such as gender, ethnicity, geographic location, and socioeconomic status.

National Research Council. *Crossing the Quality Chasm: A New Health System for the 21st Century*. Washington, DC: The National Academies Press, 2001.

Recommendations Specific to Mental Health and Substance Use

Clinicians and organizations providing mental health and substance use services should:

- Use evidence-based treatments
- Increase their use of valid and reliable patient questionnaires or other patient-assessment instruments that are feasible for routine use to assess the progress and outcomes of treatment systematically and reliably.
- Use measures of the processes and outcomes of care to continuously improve the quality of the care provided.

National Research Council. *Improving the Quality of Health Care for Mental and Substance-Use Conditions: Quality Chasm Series*. Washington, DC: The National Academies Press, 2006.

Performance (Timeliness and Effective	eness)*
Data	Measure
A: Number of clients having any contact with the system	
K: Number of clients discharged from initial treatment	
B: Number of clients screened with standardized screening instrument	B/A: % Screened

C/A: % Assessed

D/A: % with need

F/E: % Receiving EBP

G/E: % Treatment

H/G: % Treatment

I/G: % Treatment

Continuing Care

Continuity of Care

J/K: % Post-Tx

E/D: % Index

Admission

Initiation

Engagement

C: Number of clients assessed by a clinician with a standardized instrument

F: Number clients receiving Evidence-Based Practices/Treatment (EBP)

initiation (approximated as retention 6 or more weeks post intake)

assessment or clinical judgment)

last level of care/prior episode)

step up, step down or booster)

initial level of care

D: Number of clients determined to need substance use treatment (e.g., by screener,

E: Number of clients with index admission (more than 14 days after discharge from the

G: Number of clients who returned for at least 1 additional treatment session within 14

days of index session (approximated as retention for 15 or more days post intake)

H: Number of clients who had 2 additional sessions within 30 days after the date

I: Number of clients with any treatment 90-180 days out (whether due to retention,

J: Number of clients who received another service within 14 days post discharge from

Effectiveness, Efficiency, and Equity

involved in criminal activity while AOD use

ASAM Area:	Need based on GAIN at intake	Service Received from GAIN M90 (Fi	rst 90 days)
Diagnosis (Substance Use, Abuse or Dependence)	Past year AOD problems, weekly use, abuse, or dependence	Initiation of any substance use treatmen assessment (including OP, IOP and resid	,
Dim 1: Acute Intoxication/ Withdrawal Potential	Moderate to high on any withdrawal or opiate	Any Detoxification services (including m	edication,
Dim 2: Biomedica Complications	Efficiency and Effecti	<u>veness</u>	edication, emergency
	I = # in need $/ #$ admitted		dication,
Complications	ving Service = # received serving service = # low or no need	·	emergency
	et Need = no services by fu / # <u>Equity</u> cy and Effectiveness by age, re		eks post intake
Dim 5: Relapse C	i, and incentioned by age, is	ace and gender	
Continued Proble			
Dim 6: Recovery/Living	мо аетате то підії еп итопінені рговієніз зості аз	Any sen-neip group anendance	
Environment	homelessness, AOD use in home, AOD use in formation activities, trouble or arguments at home or		
	attached, abused sexually, mentally or physically	or	

Outcomes

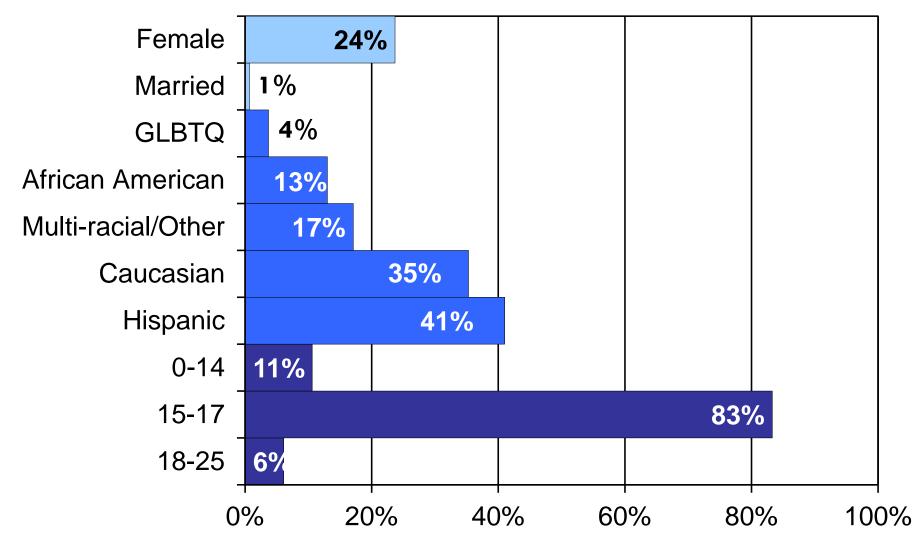
Outcome Domo	in:	Severity based on GAIN at intake	Severity based on GAIN at follo	w-up
Substance Use		Past Month Substance Problems	Past Month Substance Problems	
Substance Use		Past 90 Day Substance Frequency	Past 90 Day Substance Frequency	
Dim 1: Acute			val	
Withdrawal		Outcomes		
Dim 2: Biome		<u> </u>	ed by	
Complication	_			
Dim 3: Emoti	Percent change	in FU measure = $\%$ at FU m	inus % at intake	
Cognitive Co		OR		
Complication	Relative percer	nt change in FU measure = (%	% at FU - % at	
Dim 3: Emoti	intake) / % at		ng in	
Cognitive Co	illiake) / /o al	make		
Complication				
Dim 4: Readi			ce	
Dim 5: Relap			t Usin	ng
Continued Prob	lem Potential	Using		
Dim 6: Recovery	//Living	Percentage of Past 90 Days in	Percentage of Past 90 Days in	
Environment		Treatment	Treatment	

Examples from JDCRF Data

Reclaiming Futures RF-JTDC Sites & Data

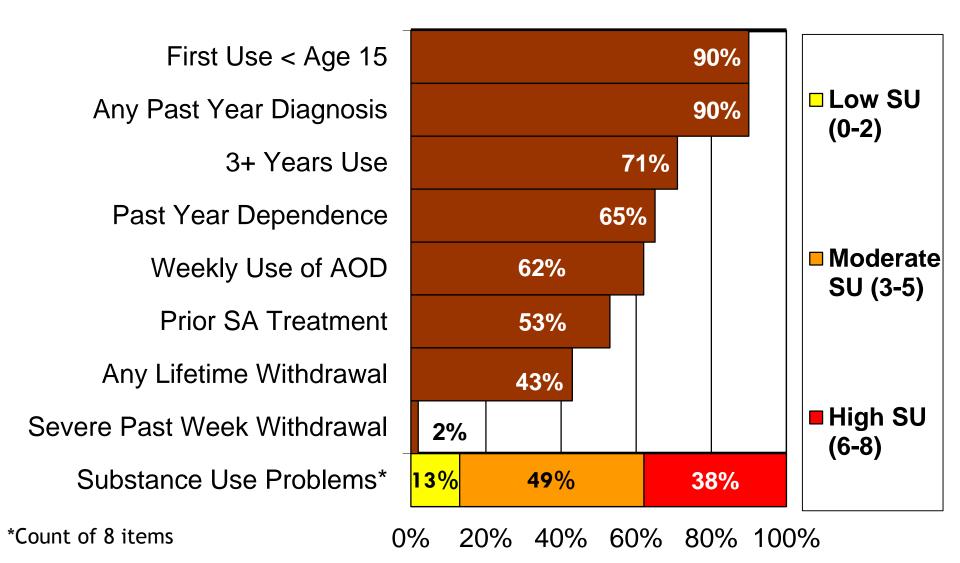
- Cohort of 8 Reclaiming Futures (RF)/Center for Substance Abuse Treatment (CSAT) collaboration grantee sites using the GAIN.
- Intake data collected on 738 adolescents from these sites between January 2008 through June 2014
- □ Follow-up data was available for 611 (89% of 688 due) adolescents with 1+ follow-up at 3, 6, and 12-months post intake.

Demographic Characteristics

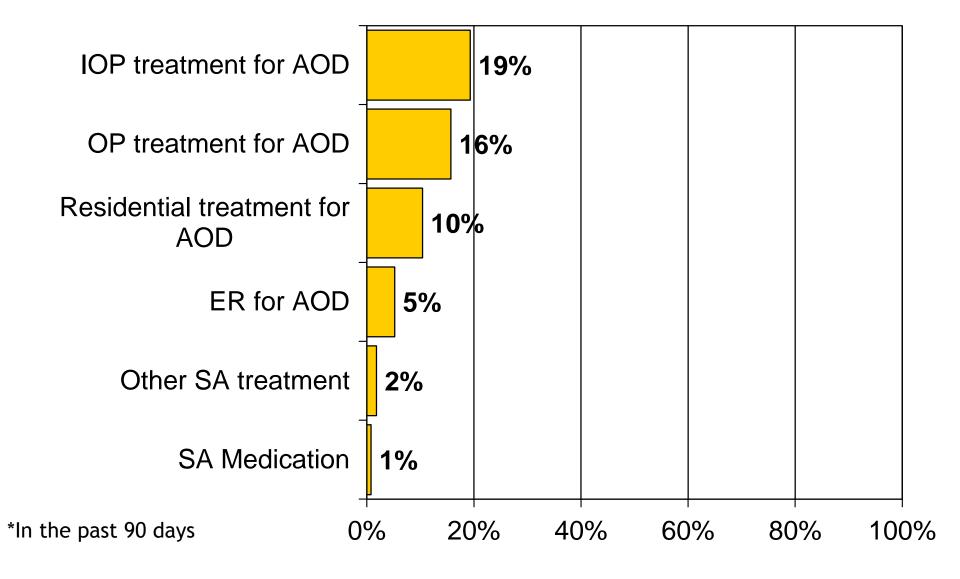


^{*}Any Hispanic ethnicity separate from race group

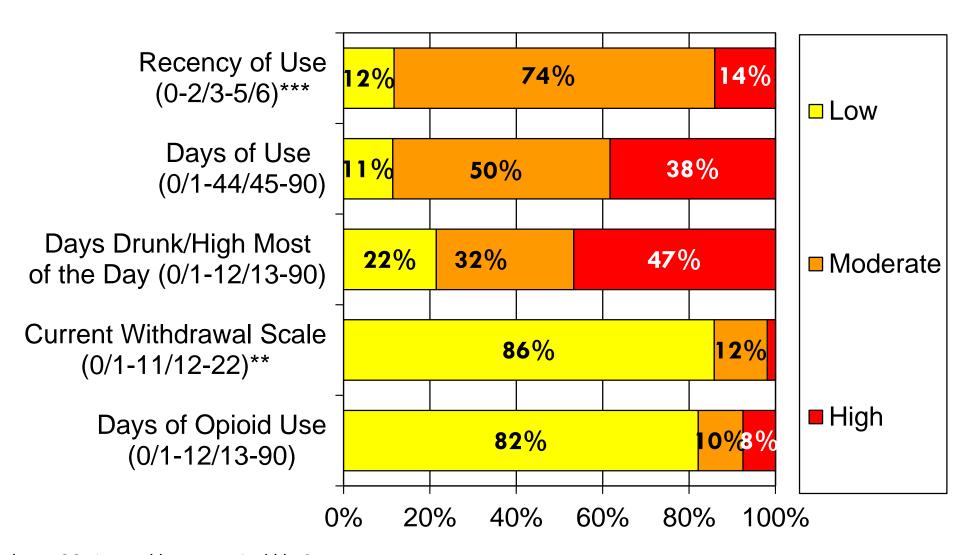
ASAM A. Diagnosis - Problems



ASAM A. Diagnosis Services*

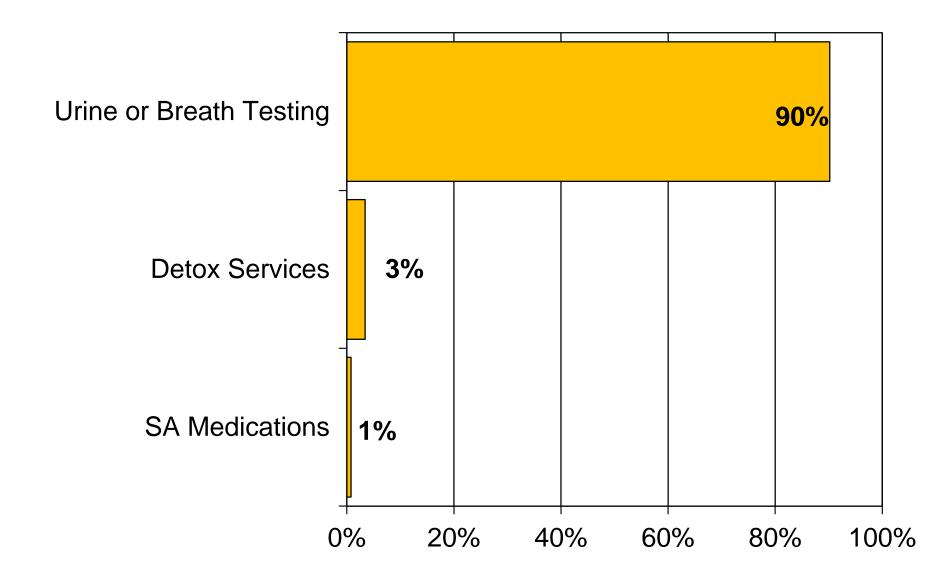


ASAM B1. Acute Intoxication/ Withdrawal - Problems*

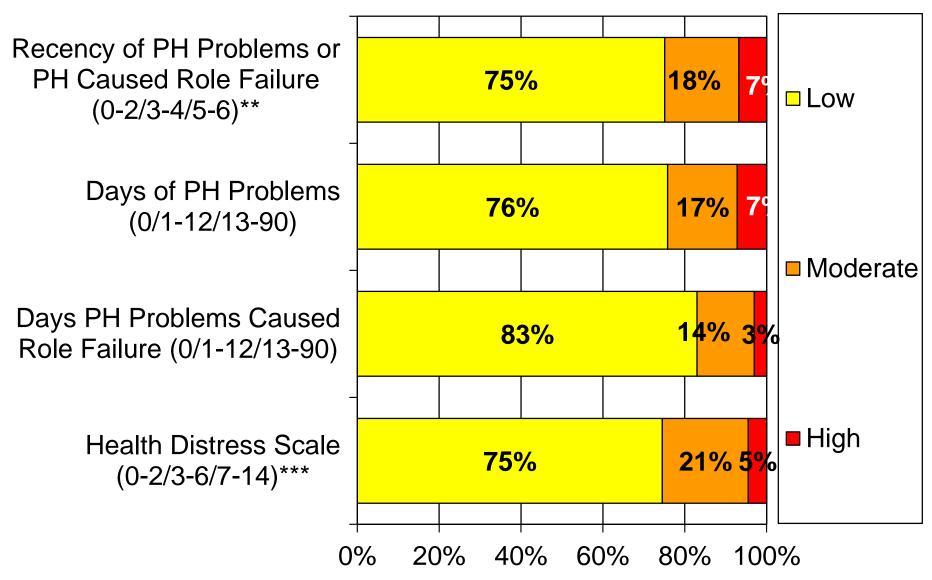


^{*}Past 90 days **Past week ***Lifetime

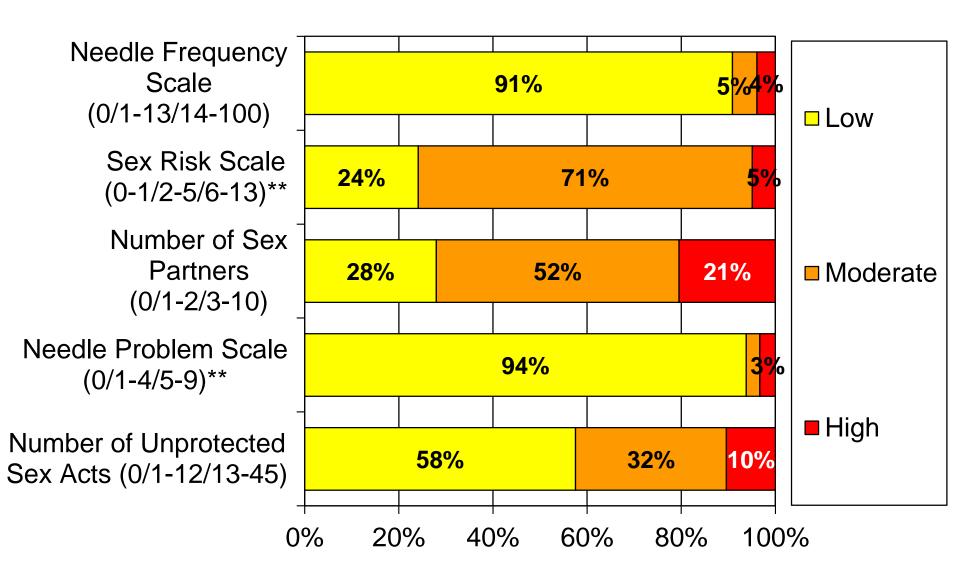
ASAM B1. Detox/Withdrawal Services



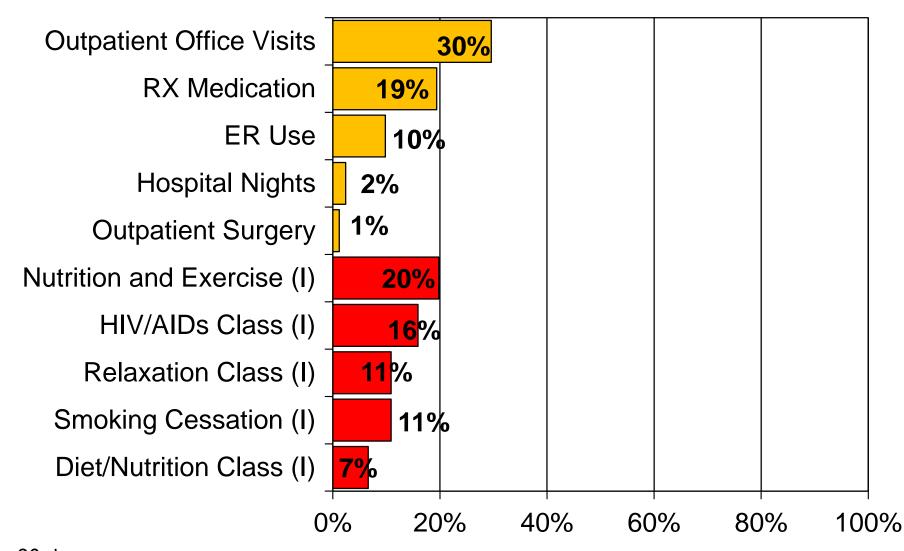
ASAM B2. Biomedical - Health Problems*



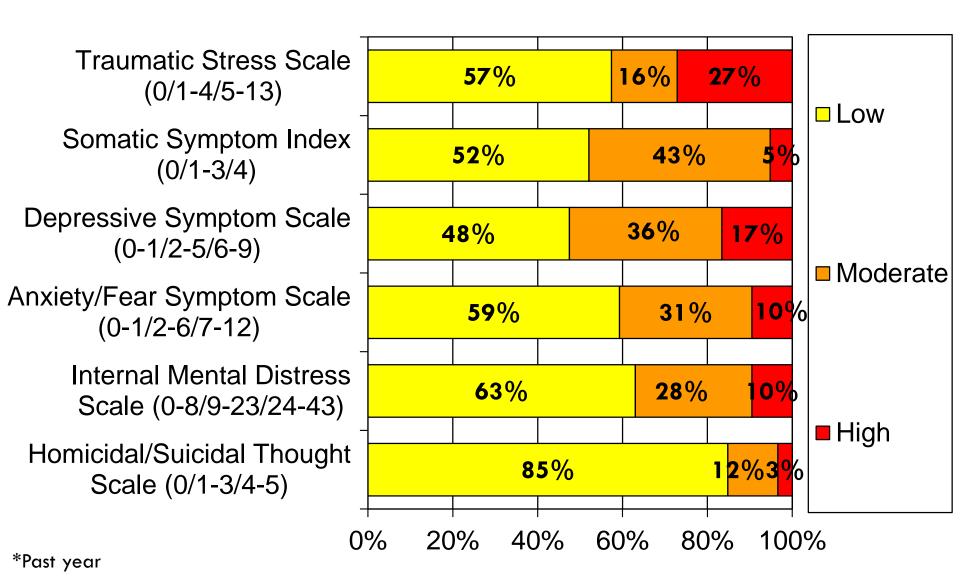
ASAM B2. Biomedical - HIV Risk Problems*



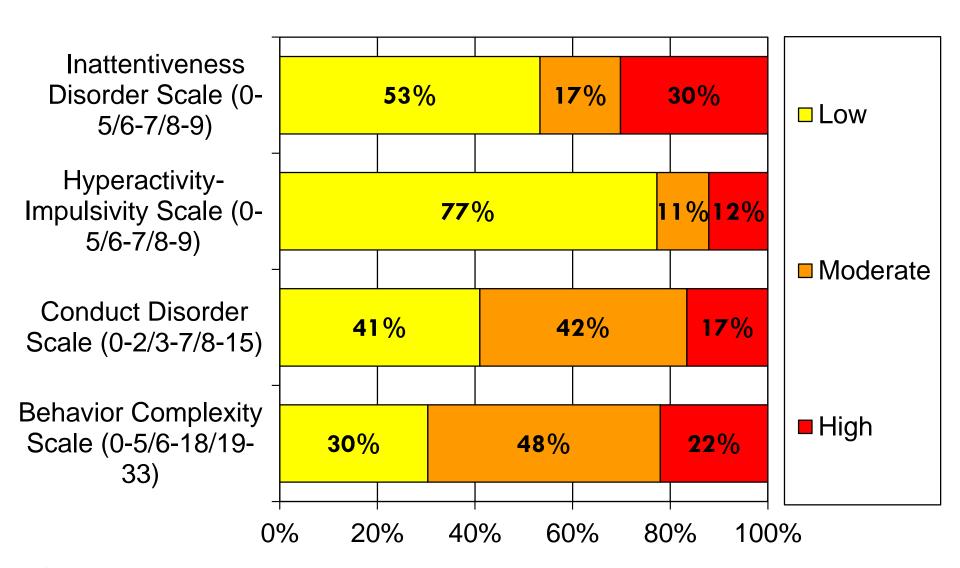
ASAM B2. Biomedical - Services*



ASAM B3. Psychological/Behavioral — Internalizing Disorder Problems*

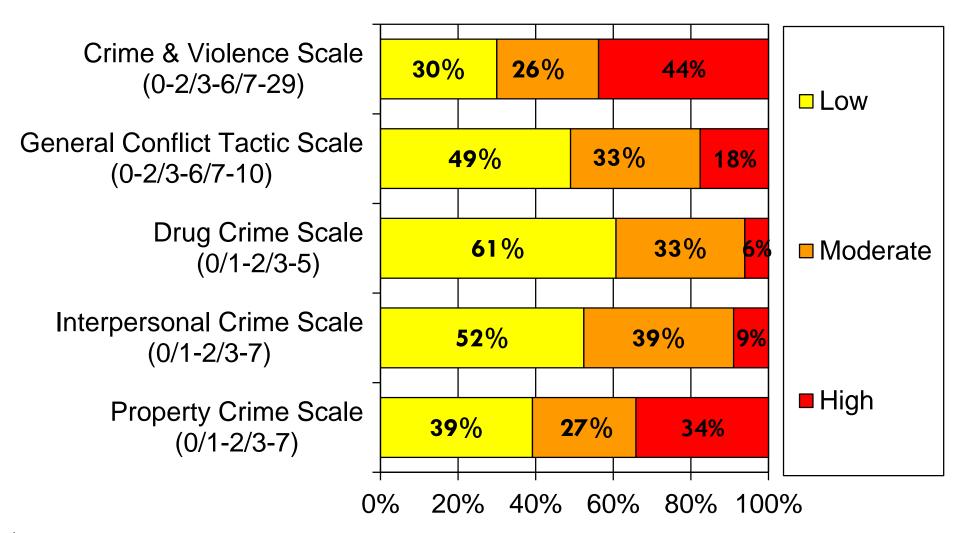


ASAM B3. Psychological/Behavioral — Externalizing Disorder Problems*



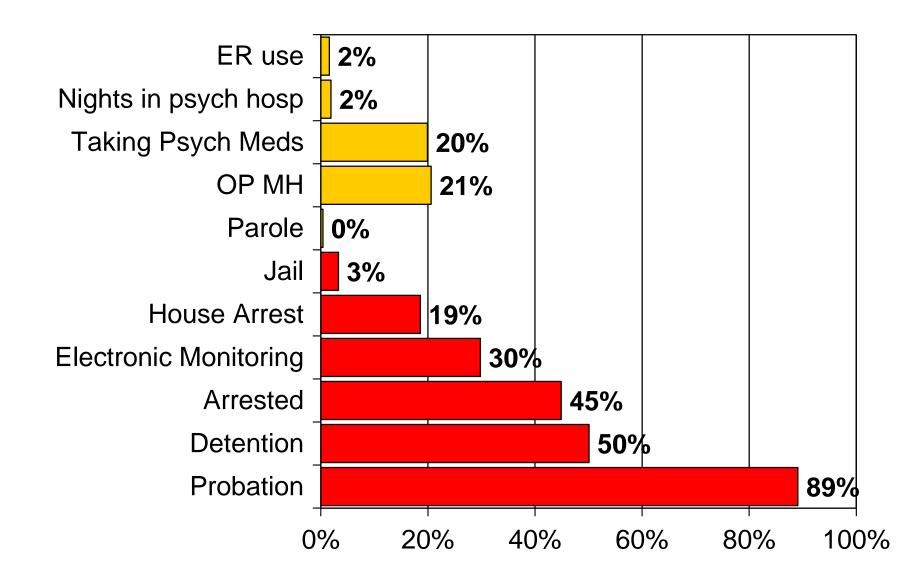
^{*}Past year

ASAM B3. Psychological/Behavioral — Crime & Violence Problems*

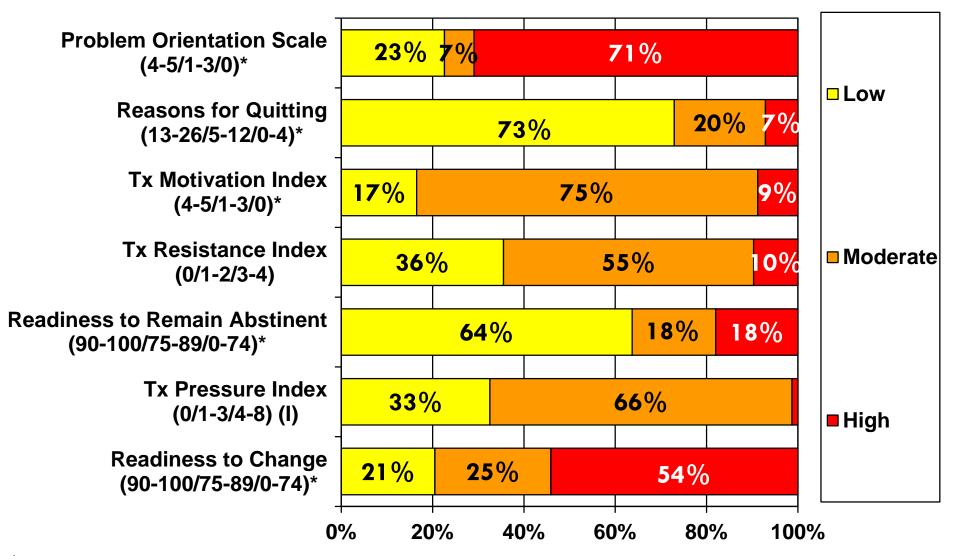


^{*}Past year

ASAM B3. Psychological/Behavioral Health Services



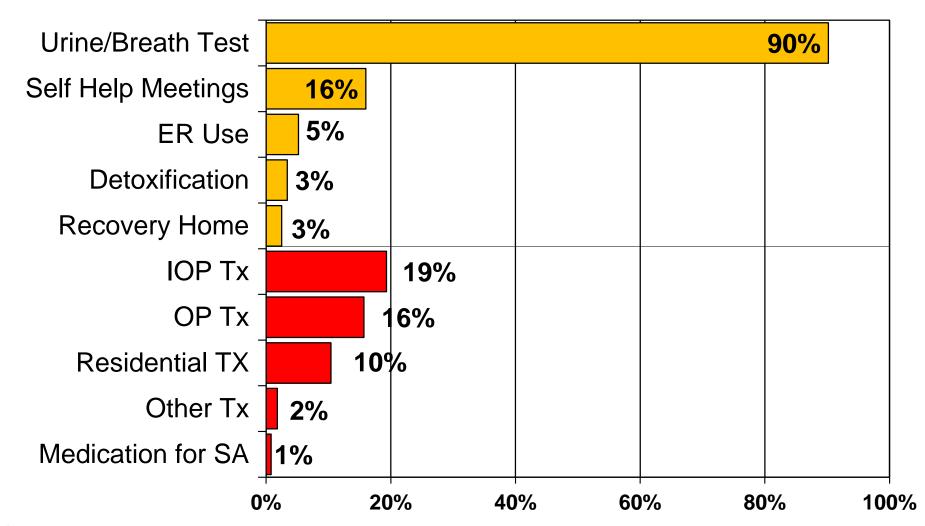
ASAM B4. Readiness to Change - Problems



^{*} Scores are reversed to reflect that low scores are of high clinical severity.

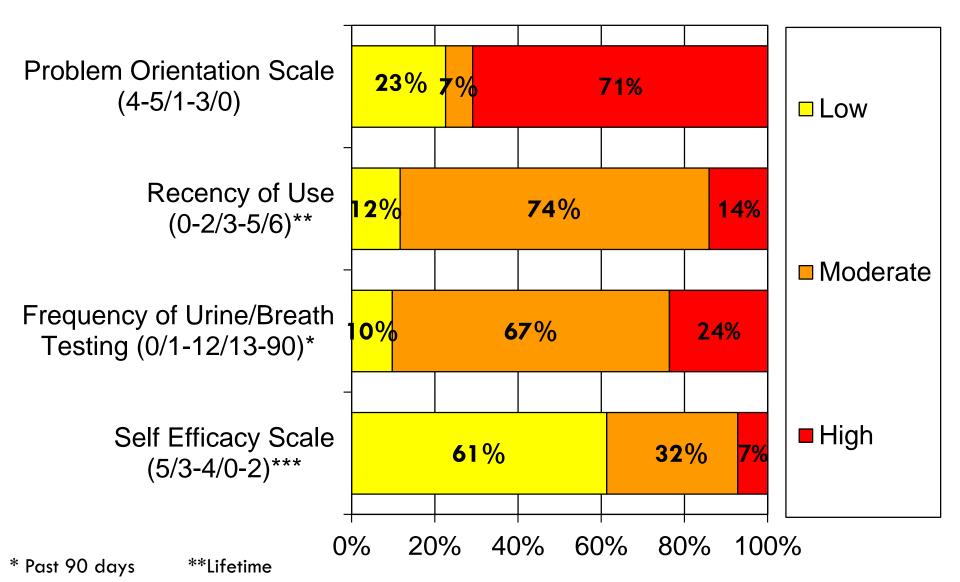
ASAM B4. Treatment Readiness and B5. Relapse

Potential - Services*



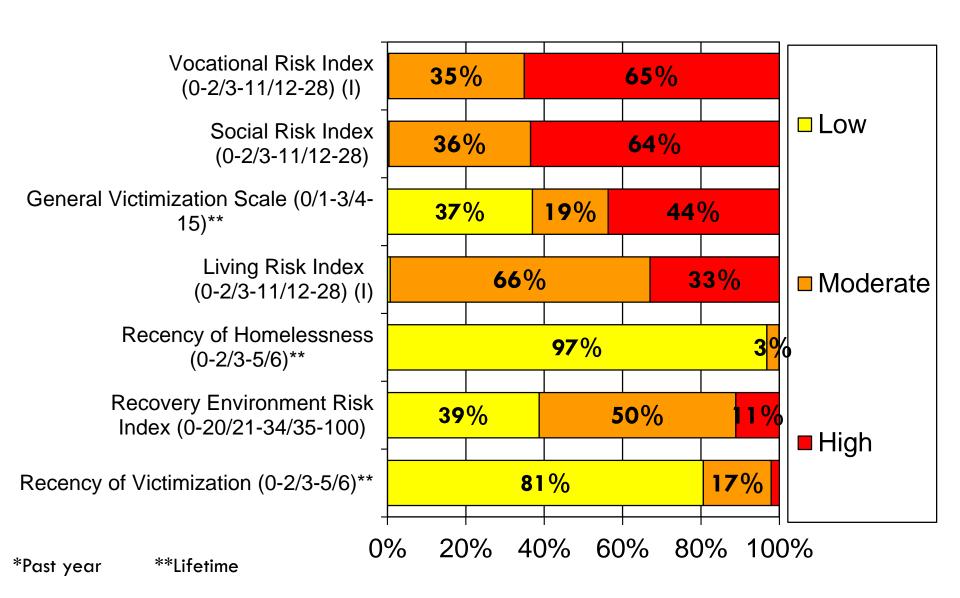
^{*} Past 90 days.

ASAM B5. Relapse Potential - Problems

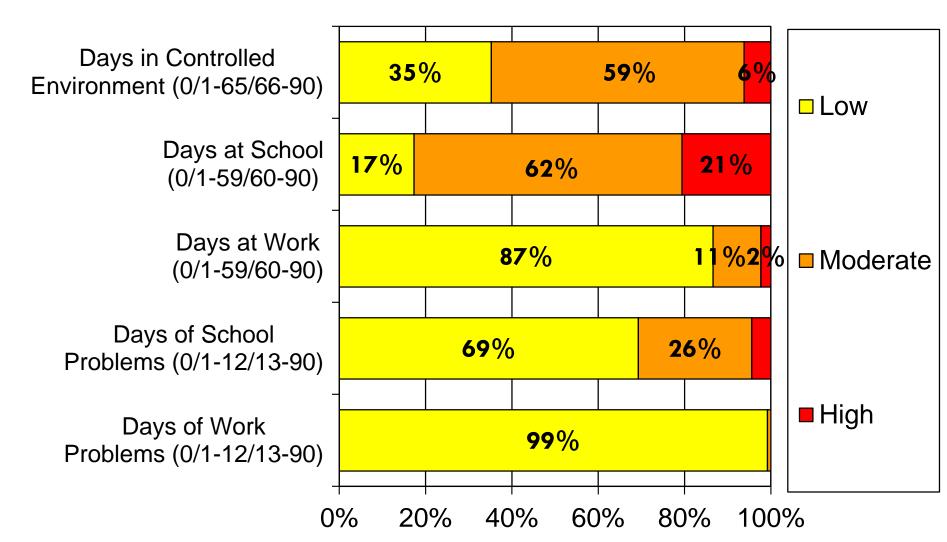


^{**} Scores are reversed to reflect that low scores are of high clinical severity.

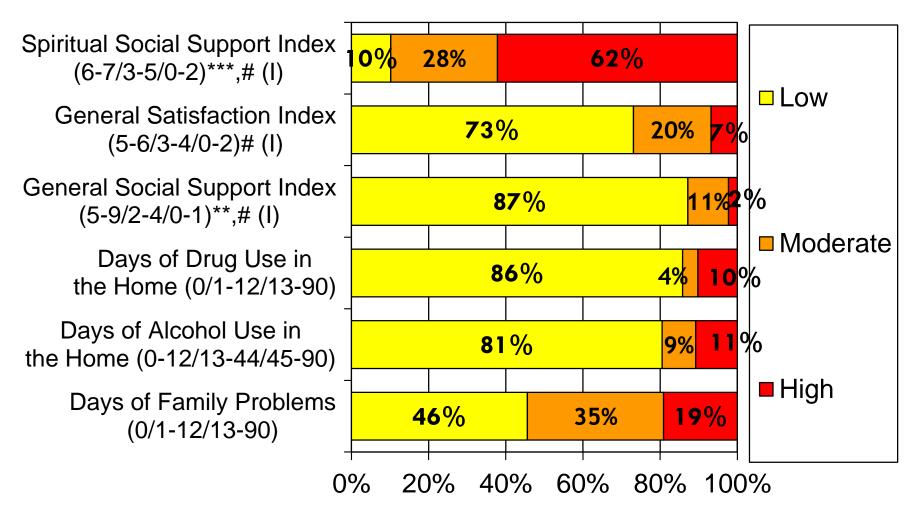
ASAM B6. Recovery Environment – Environmental Risk Problems*



ASAM B6. Recovery Environment – School and Work Problems*



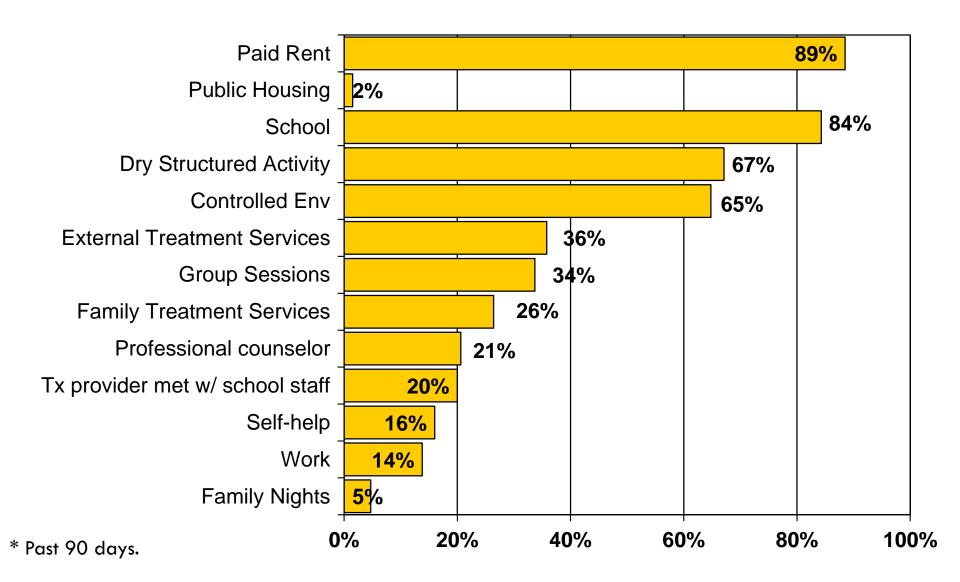
ASAM B6. Recovery Environment – Support Problems*



^{*}Past 90 days **Past Year ***Current

[#]Scores are reversed to reflect that low scores are of high clinical severity.

ASAM B6. Recovery Environment – Services*



Performance (Timeliness and Effectiven					
Data			Measure		
A: Number of clients having any contact	ct with the system				
K: Number of clients discharged fron					
B: Number of clients screened with s	.		B/A: % Screene		
C: Number of clients assessed by a cl	Requires service logs	nt	C/A: % Assesse		
D: Number of clients determined to assessment or clinical judgment)		by screener,	D/A: % with ne		

Simple to count or measure

E/D: % Index

F/E: % Receiving EBP

G/E: % Treatment

H/G: % Treatment

I/G: % Treatment

Continuing Care

Continuity of Care

J/K: % Post-Tx

Admission

Initiation

Engagement

sion within 14

t intake)

ne date

retention,

E: Number of clients with index admission (more than 14 days after discharge from the

J: Number of clients who received another service within 14 days post discharge from

F: Number clients receiving Evidence-Based Practices/Treatment (EBP)

last level of care/prior episode)

G: Number of clients who returned

days of index session (approximated

H: Number of clients who had 2 add

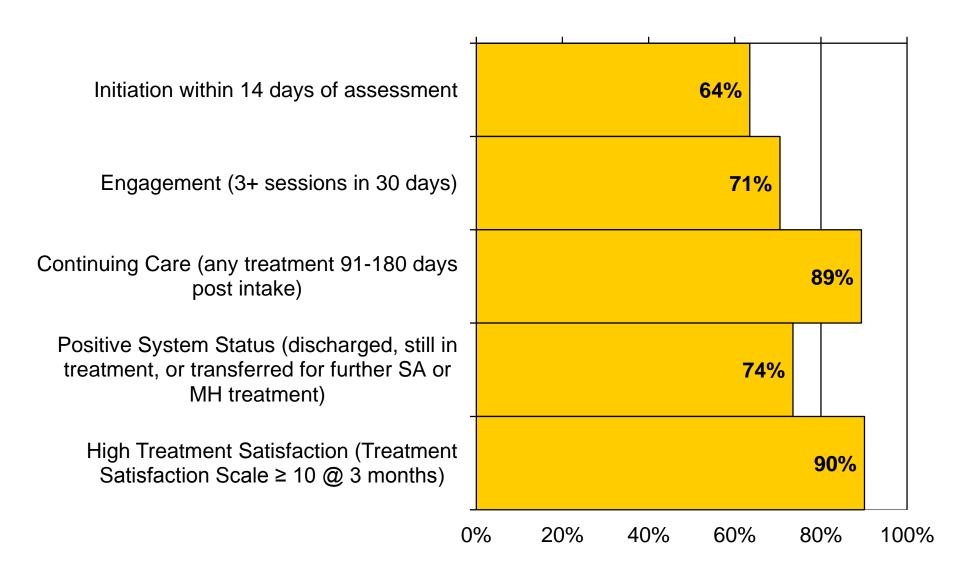
initiation (approximated as retention

I: Number of clients with any treatm

step up, step down or booster)

initial level of care

Performance Measurement (of those with 1+ follow-up)



Effectiveness and Efficiency

ASAM Dimension	Need	Received	Untargeted	Unmet
		Services	Services	Need
Diagnosis (Substance Use, Abuse or Dependence)	99%	78%	0.2%	22%
	538/541	421/541	1/421	118/538
Dim 1: Acute Intoxication/ Withdrawal Potential	14%	3%	50%	90%
	73/535	14/535	7/14	66/73
Dim 2: Biomedical Conditions or Complications	39%	37%	49%	52%
	215/547	203/547	100/203	112/215
Dim 2: HIV Risk Behaviors	74%	33%	28%	68%
	301/408	134/408	38/134	205/301
Dim 3: Emotional, Behavioral or Cognitive	74%	31%	16%	65%
Conditions and Complications	409/552	172/552	28/172	265/409
Dim 3: Crime and Violence	75%	96%	25%	4%
	411/551	527/551	133/527	17/411
Dim 4: Readiness to Change	88%	83%	12%	17%
	455/517	429/517	53/429	79/455
Dim 5: Relapse Continued Use or Continued	90%	98%	10%	2%
Problems	489/545	534/545	55/534	10/489
Dim 6: Recovery/Living Environment	100%	99%	0%	1%
	546/546	542/546	0/542	4/546
Dim 6: Social Support	99%	92%	1%	8%
	541/548	504/548	7/504	44/541
Dim 6: School/Work	62%	86%	34%	9%
	331/531	459/531	156/459	28/331

Effectiveness and Efficiency

ASAM Dimension	Need Recei		Untargeted	ted Unmet	
		Services	Services	Need	
Diagnosis (Substance Use, Abuse or Dependence)	99%	78%	.2%	22%	
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	455/517	429/517	53/429	79/455	
Dim 5: Relapse Continued Use or Continued	90%	98%	10%	2%	
Problems	489/545	534/545	55/534	10/489	
Dim 6: Recovery/Living Environment	100%	99%	0%	1%	
	546/546	542/546	0/542	4/546	
Dim 6: Social Support	99%	92%	1%	8%	
	541/548	504/548	7/504	44/541	
Dim 6: School/Work	62%	86%	34%	9%	
	331/531	459/531	156/459	28/331	

Highlighted any percentage that impacted over 33% of relevant group

Equity - Gender

ASAM Dimension	Need	Received Services	Untargeted Services	Unmet Need
Diagnosis (Substance Use, Abuse or Dependence)		↑ Females		↑ Males
Dim 1: Acute Intoxication/ Withdrawal Potential				
Dim 2: Biomedical Conditions or Complications	↑ Females	↑ Females		↑ Males
Dim 2: HIV Risk Behaviors				
Dim 3: Emotional, Behavioral or Cognitive Conditions and Complications	↑ Females	↑ Females	↑ Males	↑ Males
Dim 3: Crime and Violence	↑ Females			↑ Males
Dim 4: Readiness to Change	↑ Females			
Dim 5: Relapse Continued Use or Continued Problems	↑ Females			
Dim 6: Recovery/Living Environment				
Dim 6: Social Support		↑ Females		↑ Males
Dim 6: School/Work				

Dim 6: School/Work

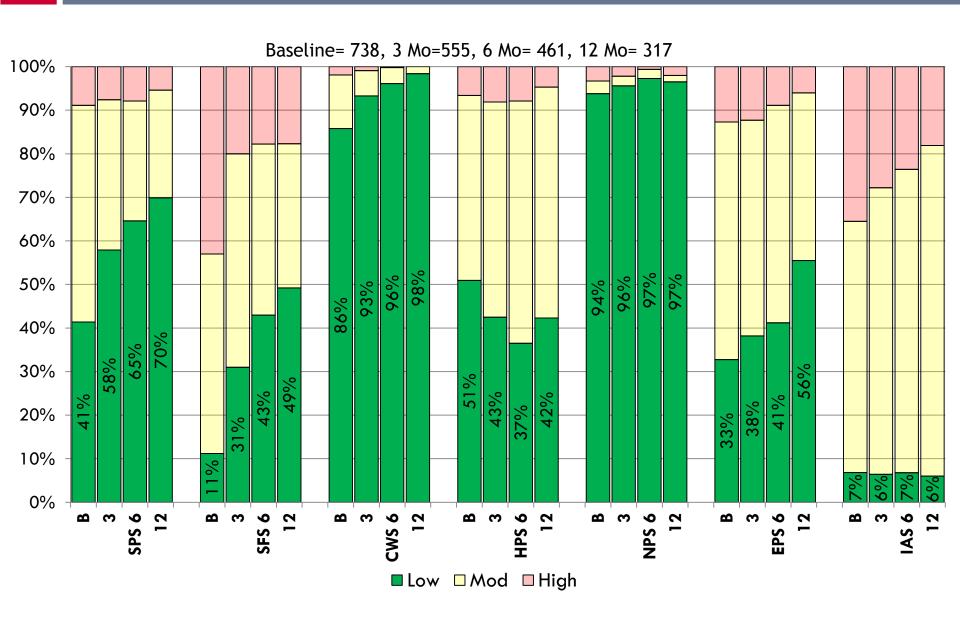
Equity - Ethnicity

ASAM Dimension	Need	Received Services	Untargeted Services	Unmet Need
Diagnosis (Substance Use, Abuse or Dependence)				↑ African American
Dim 1: Acute Intoxication/ Withdrawal Potential		↑ White		
Dim 2: Biomedical Conditions or Complications		↑ Other ↓ African American		
Dim 2: HIV Risk Behaviors				
Dim 3: Emotional, Behavioral or Cognitive Conditions and Complications			↑ African American ↑ Hispanic	↑ African American
Dim 3: Crime and Violence				
Dim 4: Readiness to Change				
Dim 5: Relapse Continued Use or Continued Problems				
Dim 6: Recovery/Living Environment		↓ AfricanAmerican↓ Multi-racial		↑ African American
Dim 6: Social Support				

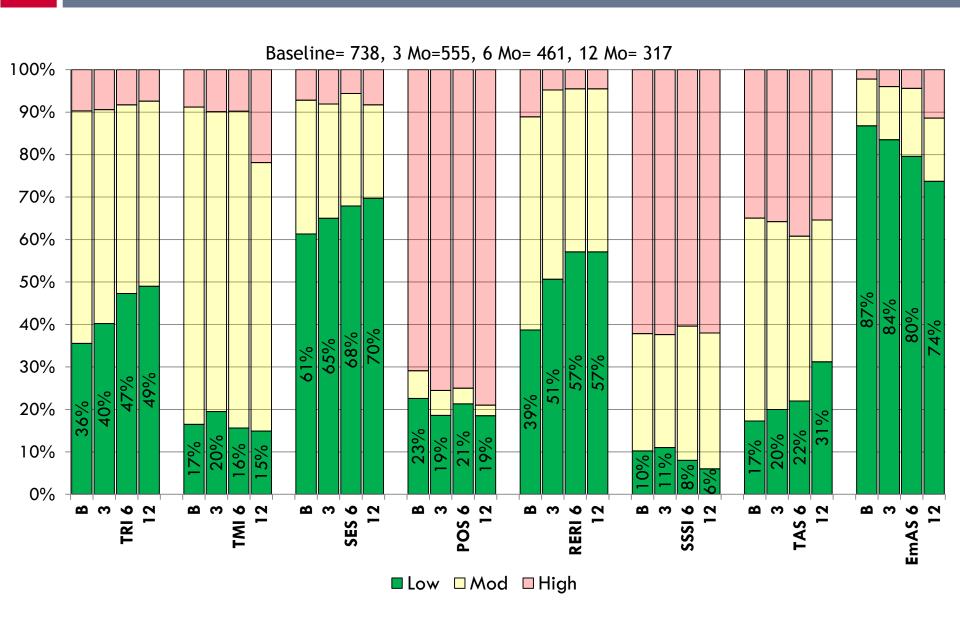
Equity - Age

ASAM Dimension	Need			eived vices		argeted vices	Unmet Need
Diagnosis (Substance Use, Abuse or Dependence)							
Dim 1: Acute Intoxication/ Withdrawal Potential		1	^	18-25			
Dim 2: Biomedical Conditions or Complications			V	15-17			
Dim 2: HIV Risk Behaviors	V <	15					
Dim 3: Emotional, Behavioral or Cognitive Conditions and Complications							
Dim 3: Crime and Violence							
Dim 4: Readiness to Change							
Dim 5: Relapse Continued Use or Continued Problems							
Dim 6: Recovery/Living Environment							
Dim 6: Social Support							
Dim 6: School/Work	↓ 18	3-25	ν —	18-25	↑	18-25	

Outcomes by ASAM Dimension



Outcomes by ASAM Dimension



Practical issues for implementing measures

Measures and Sources: Practical Issues							
Measure	Data Source/Item	Issues to Overcome					
Occurrence of	Date of the Screening or	If using standardized screening or					
Screening and/or	Assessment in EHR or on	assessment; integration of scores or					
Assessment	screener/assessment	diagnoses with EHR					
Need for Treatment	Result of clinical determination,	Matching "need" to "service". Measuring					
	screening, assessment, ICD	multiple domains.					
	Codes						
Initiation,	Administrative data (dates of	Need dates by meaningful CPT codes in					
engagement,	services and CPT codes)	order to assess type of service, need LOC					
continuing care		changes, disposition of services (step					
		up/down) helpful.					

Severity of Need

Severity at Intake

and Follow-up

of screening or assessment Services Received

CPT codes, follow-up assessment

Clinical markers for severity (ongoing ICD codes), follow-up assessment

ICD, clinical determination, result Variation among staff diagnosis, formal assessment requires resources and integration with EHR. CPT codes often not specific enough, follow-up assessment integration with

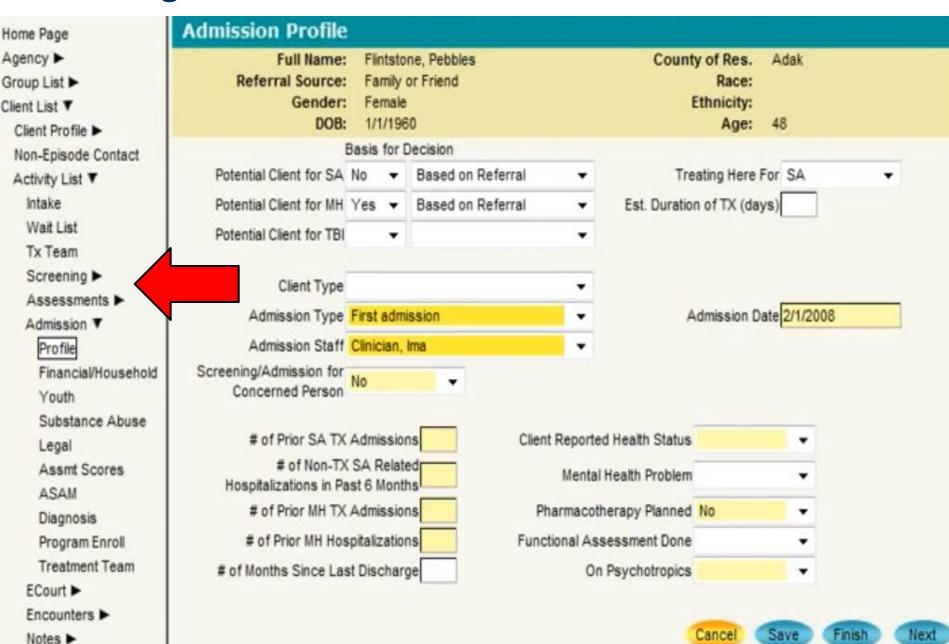
with FHR

EHR

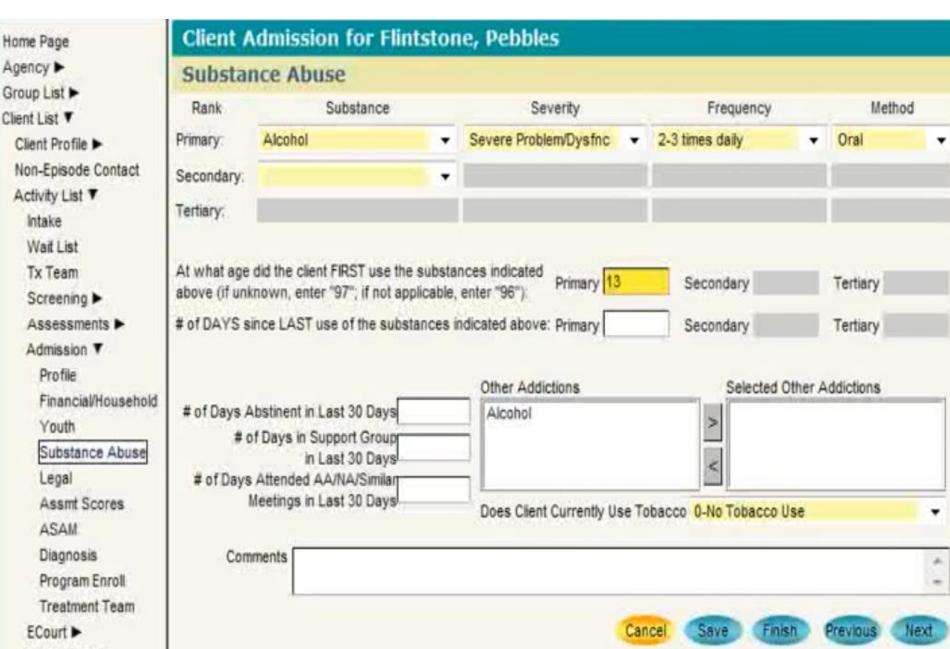
ICD codes not always current as of

discharge, FU assessment integration

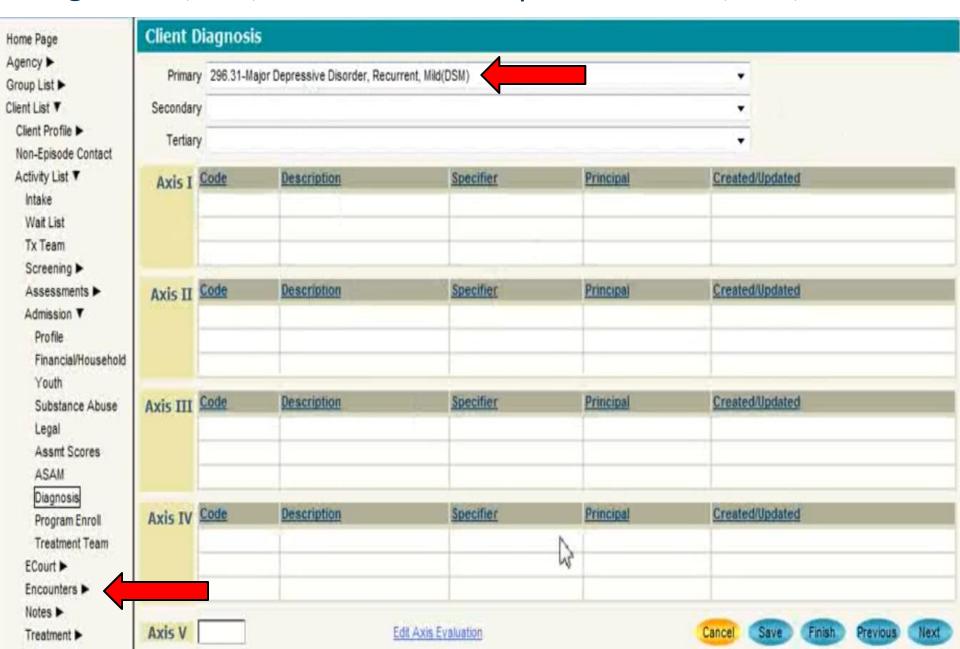
Screening and Assessment



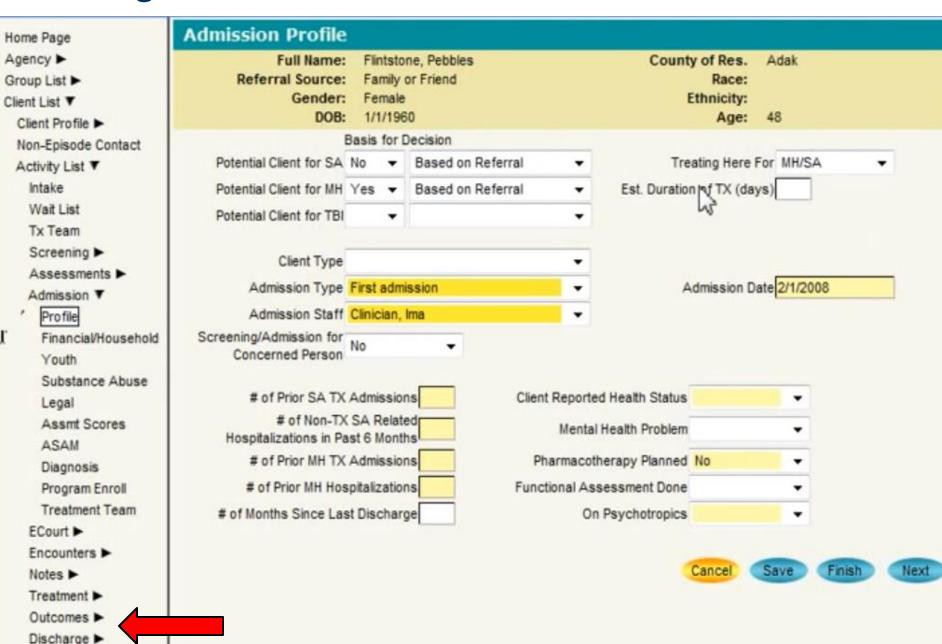
TEDS Data



Diagnosis (ICD) and Procedures/Encounters (CPT)



Discharge and Outcomes



Performance Measurement and Quality: Integrating Performance Indicators into

Everyday Practice

Performance | Primary

Take Home Worksheet!

Issues to overcome (not currently

multiple EBP serving specific needs

enough to identify each EBP.

(trauma, HIV, etc.) codes must be specific

Identification of performance measures, data sources, measurement strategies, reporting process, and use of information

Measurement (formula)

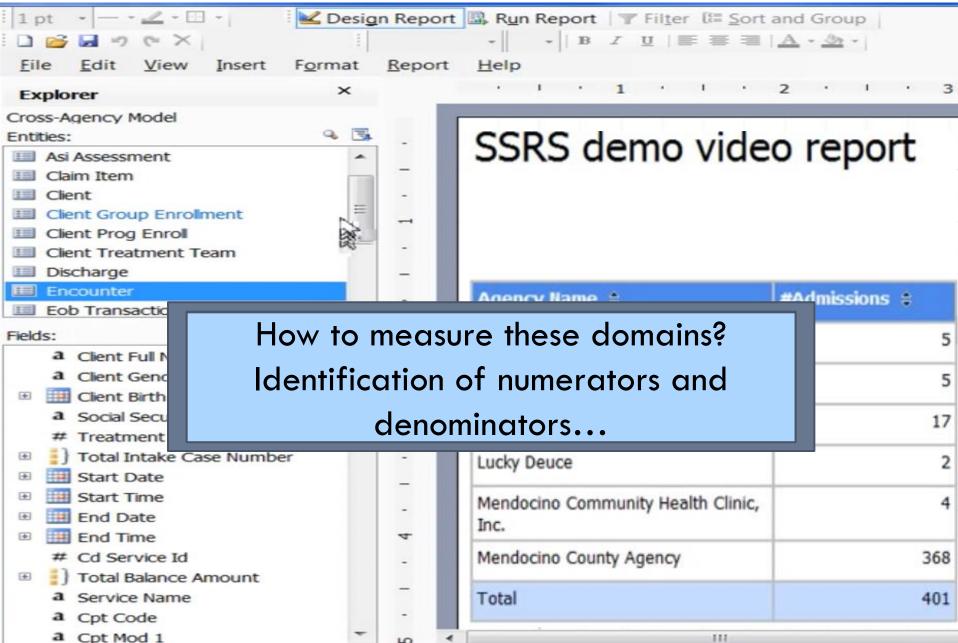
identified by ??)

I. Edit table as needed to document your agency's performance measures, data sources, formula, benchmark and barriers.
Always keep in mind "Is the data readily available to and in the right format for the person who will create the reports?"

Benchmark

Measure	Data	•			collected, incorrect measurement,			
Picasarc	Source(s)	Numerator	Denominator		integration of data sources, etc.)			
Performance								
Screening	GAIN SS in GAIN ABS (or other screening)	# of clients screened with GAIN SS (or other)	Total number of clients	100%	GAIN ABS screening results (ID, date of screening and 9 screeners*) must be imported into EHR.			
Assessed	GAIN-I in GAIN ABS (or other assessment)	# of clients assessed with GAIN-I (or other)	Total # clients with screening results in mod-high range	100%	GAIN ABS assessment results (ID, date of assessment, dx*) must be imported into EHR.			
Admissions	EHR	# of clients with intake encounter as defined by CPT codes (List out):	# clients with dx of abuse or dependence (list out ICD)	100%	CPT code must be explicit to index admission or provide additional criteria. GAIN ABS assessment results (ID, date of assessment, dx*) must be imported into EHR.			
Receipt of EBP	EHR	# clients receiving EBP (coded as any EBP session	# client admissions	100%	CPT Code must be specific enough to identify EBP from other services or provide additional criteria (and import into EHR). If			

Reporting



Working with Existing Processes

- Working with your Quality Improvement/Quality
 Management/Continuous Quality Improvement staff
 - Identification of measures, data sources and analytic method likely already in progress
 - May only include referral, admission, discharge rates
- Working with EHR developers
 - Early identification of modifications to "off the shelf" software
 - Will likely require additional cost
- Working with screening and assessment developers
 - Push data from screening/assessment to EHR
 - Easier (and cheaper) than you might think

Use and Dissemination

- □ Plan, Do, Study, Act (PDSA) cycles
 - Start small
- Internal
 - Dashboards
 - Subscriptions to reports
- External
 - Scorecards for performance
 - Consumers/Community